



MotleyRice<sup>®</sup>  
LLC  
ATTORNEYS AT LAW

# SECURITIES FRAUD LITIGATION AND PORTFOLIO MONITORING



**TRIAL READY.  
SERIOUS RESOURCES.  
INNOVATIVE RESOLUTIONS.**

PROUDLY  
REPRESENTING

**INSTITUTIONAL INVESTORS UNIONS TRUSTEES  
WORKERS FAMILIES VETERANS CONSUMERS**

Joe Rice and Ron Motley founded Motley Rice in 2003 with 50 other trial lawyers. Their focus was straightforward.

*If it means going to trial, we will.*

We will aggressively seek justice against defendants in every industry, including the financial sector. That's how our founders achieved the largest civil settlement in litigation history, the \$246 billion Master Settlement Agreement with tobacco companies. Many said it couldn't be done—our lawyers did it anyway.

Motley Rice has grown to become one of the nation's largest plaintiffs' law firms with 9 offices and 150 attorneys. We're fighting for the rights of investors, consumers, workers, and government entities, and we are currently lead counsel in the National Opioid Litigation.

Motley Rice brings diverse experience and tenacity to bear in its securities fraud and complex financial cases. Securities cases do not go to trial often, but our attorneys do. We prepare every case as if it will go to trial.

We have a track record of successfully resolving some of the most complex and high stakes civil litigation in the country, resulting in billions of dollars of settlements and jury awards.

*Let us apply our courtroom and negotiation experience to recover plan asset losses due to complex financial fraud.*

# WHAT WE DO FOR INSTITUTIONAL INVESTORS

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- Monitor securities portfolios
- Advise on merits and loss recovery opportunities for class, opt-out, derivative, and non-US securities cases
- Evaluate complex financial cases at no charge
- Quarterly reporting on recent class cases and settlements
- Put our deep bench of trial lawyers to work for you litigating and resolving complex financial cases of all varieties

# NATIONAL RECOGNITION



**2022 Plaintiff Firm of the Year**  
**2022 Impact Case Award: *Twitter***  
*Benchmark Litigation*



**Practice Group of the Year**  
*Law360*  
2021 Securities  
2021 • 2020 • 2019 • 2015 Product Liability  
2018 Consumer Protection



**The Legal 500**  
**United States**  
*Litigation editions*  
Securities Litigation: Plaintiff: TIER 3  
2024

## “Elite Trial Lawyers”

*The National Law Journal*  
*Law Firm of the Year*  
2024 Consumer Protection  
2023 • 2021 *Government Representation*  
2022 • 2020 *Pharmaceuticals*  
2021 *Mass Torts*  
2020 *Insurance Liability*



**Chambers USA**  
Product Liability: Plaintiffs – Nationwide, Band 1  
2023 • 2022 • 2021

## Motley Rice attorneys have held numerous leading roles in impact litigation, including:

- Co-lead negotiator of the **largest civil class action settlement in U.S. history** with recovery exceeding **\$10 billion** and counting  
*IN RE OIL SPILL BY THE OIL RIG “DEEPWATER HORIZON” IN THE GULF OF MEXICO ON APRIL 20, 2010*
- One of the Lead Settlement Negotiators of the **largest auto-related consumer class action in U.S. history**  
*IN RE VOLKSWAGEN “CLEAN DIESEL” MARKETING, SALES PRACTICES, AND PRODUCTS LIABILITY LITIGATION*
- Co-lead trial counsel for **\$1.15 billion verdict** on behalf of several municipalities  
*THE PEOPLE OF THE STATE OF CALIFORNIA V. ATLANTIC RICHFIELD COMPANY, ET AL*
- Leading role in negotiating the **largest civil settlement in U.S. history** resulting in a **\$246 billion settlement**  
*MASTER SETTLEMENT AGREEMENT WITH BIG TOBACCO*
- Opioids MDL Co-lead Counsel and Negotiating Committee for 3000+ plaintiffs; Counsel for 40+ state and local governments; reaching over \$51 billion in settlements for communities nationwide
- Hold current leadership roles in 30+ large scale complex litigation in cases spanning the country
- Created settlement frameworks for multiple litigation classes

For a complete list of selection methodology, visit [www.motleyrice.com/award-methodology](http://www.motleyrice.com/award-methodology)

**15 Motley Rice attorneys listed in *Lawdragon 500 Plaintiff Financial Lawyers***

2024 • 2023 • 2022 • 2021  
• 2020 • 2019

**34 Motley Rice attorneys recognized in Super Lawyers lists**

**Securities Class Action Services Top 50**  
*Institutional Shareholder Services*

2022 • 2017 • 2016 • 2015 • 2014  
2011 • 2010 • 2009

**“Best Law Firms”**  
*Best Lawyers®*

**Nationally ranked in Mass tort litigation / class actions—plaintiffs**  
2025 • 2024 • 2023 • 2022 •  
2021 • 2020 • 2019 • 2018 • 2017  
• 2016 • 2015 • 2014 • 2013 •  
2012 • 2011 • 2010

2021 Hartford, CT Metro  
ranked Tier 1 in Banking/Finance



Motley Rice represents investors who have suffered significant financial losses from the purchase of stocks, bonds, and complex financial instruments

Whether you are an industry giant or a small fund, whether your loss was caused by fraud, market manipulation, or breach of contract, we can help recover fund value.

REPRESENTING:

STATE AND MUNICIPAL PENSION FUNDS

HEDGE FUNDS

FOREIGN INSTITUTIONAL INVESTORS

FAMILY OFFICES

MUTUAL FUNDS

UNION PENSION FUNDS

INDIVIDUAL INVESTORS

**CLOSE TO \$2 BILLION** IN AGGREGATE RECOVERIES  
**MORE THAN 60** LEAD OR CO-LEAD POSITIONS IN SECURITIES FRAUD CLASS ACTIONS SINCE 2006

# NOTABLE SECURITIES CLASS ACTION LITIGATION

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\$809.5 million recovery	<i>IN RE TWITTER INC. SECURITIES LITIGATION</i>
\$164 million recovery	<i>ALASKA ELECTRICAL PENSION FUND V. PHARMACIA CORP., CONSOL.</i>
\$140 million recovery	<i>IN RE BARRICK GOLD SECURITIES LITIGATION</i>
\$131 million recovery	<i>BENNETT V. SPRINT NEXTEL CORP.</i>
\$125 million recovery	<i>BOSTON RETIREMENT SYSTEM V. ALEXION PHARMACEUTICALS, INC., ET AL</i>
\$85 million recovery	<i>MINNEAPOLIS FIREFIGHTERS' RELIEF ASS'N V. MEDTRONIC, INC.</i>
\$62 million recovery	<i>CITY OF BROCKTON RETIREMENT SYSTEM V. AVON PRODUCTS, INC.</i>
\$60 million recovery	<i>HILL V. STATE STREET CORP.</i>
\$57 million recovery	<i>IN RE HEWLETT-PACKARD CO. SECURITIES LITIGATION</i>
\$50 million recovery	<i>KBC ASSET MANAGEMENT NV V. 3D SYSTEMS CORP.</i>

OUR  
PORTFOLIO  
MONITORING  
SERVICE

- **Helps institutional investors fulfill their fiduciary duties**
- **Serves as an asset recovery tool**
- **Enables clients to make informed decisions about losses caused by fraud**
- **Helps clients protect their investment assets proactively rather than reactively**
- **Monitors both US and foreign securities actions**

## PORTFOLIO MONITORING

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Our portfolio monitoring service is designed to tell investors how pending securities class actions affect them, when settlement funds are available and how to seek a distribution from the settlement fund if they are eligible.

Our goal is not to suggest an investor take legal action in every instance of suspected wrongdoing but to provide the factual and legal insight needed to make informed decisions regarding their legal options.

Motley Rice will advise only on cases that matter to our client. We analyze the loss, the merits of the case involved and whether the client serving as lead plaintiff will make a difference.

**“ONE SIZE”  
DOES NOT  
ALWAYS  
“FIT ALL”  
INVESTORS**

**It is a mistake to assume that securities fraud cases are only about class actions.**

**Sometimes a class case will protect your interests. Sometimes it won't.**

**Motley Rice's goal is to provide you with the factual and legal insight needed to evaluate how best to protect your interests and maximize your asset recovery opportunity.**

## THE VALUE OF OPTING OUT

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Motley Rice advises institutional investors on when to consider opting out of pending class actions in favor of initiating their own direct actions against the same defendant. In certain cases, opt-out litigation may provide significant benefits, which could include:

- Recovering a significant premium over the class action;
- Bringing suit to recover losses from alternative investments, such as an unregistered debt, or any security not included in the class action;
- Collecting any recovery in a significantly shorter time frame than the class action;
- Incorporating additional legal claims not available in securities class actions;
- Exercising greater control over the litigation, including the time period covered, the claims included, the forum chosen, and the settlement terms; and
- Negotiating on a private, confidential basis an agreement tolling the statute of limitations for claims and achieving a recovery without resorting to filing a complaint.

# CONTACT US

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**MARLON E. KIMPSON** (SC)  
843.216.9180 | [mkimpson@motleyrice.com](mailto:mkimpson@motleyrice.com)

**SERENA P. HALLOWELL** (NY)  
212.577.0043 | [shallowell@motleyrice.com](mailto:shallowell@motleyrice.com)

**JOSH LITTLEJOHN** (SC)  
843.216.9447 | [jlittlejohn@motleyrice.com](mailto:jlittlejohn@motleyrice.com)

**LANCE V. OLIVER** (AL, DC, FL, SC)  
843.216.9061 | [loliver@motleyrice.com](mailto:loliver@motleyrice.com)

**NELI T. HINES** (DC, SC)  
843.216.9395 | [ntraykova@motleyrice.com](mailto:ntraykova@motleyrice.com)



1 800.768.4026      [www.motleyrice.com](http://www.motleyrice.com)  
28 BRIDGESIDE BLVD. MT. PLEASSANT, SC 29464

NY | NJ | DC | PA | CT | RI | WV | SC

Marlon E. Kimpson (SC) is the attorney responsible for this communication. Our lawyers must make a case-by-case assessment of any claims. Prior results do not guarantee a similar outcome. Motley Rice LLC, a South Carolina Limited Liability Company, is engaged in the New Jersey practice of law through Motley Rice New Jersey LLC. Esther Berezofsky attorney responsible for New Jersey practice. *PD: 02.10.25*